

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571
Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071
Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423
Website : www.summitsecurities.net Email : summitsec@gmail.com

July 17, 2018

National Stock Exchange of India Limited Exchange Plaza, 5 th Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
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Re: Security Code: 533306; Security ID/Symbol: SUMMITSEC

Sub: Submission of statement showing holding of securities and share holding pattern pursuant to Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

Pursuant to Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the statement showing holdings of securities and the shareholding pattern of the Company for the quarter ended June 30, 2018 in the prescribed format.

The above statement is also being filed online with the respective stock exchanges in their prescribed modes of filing.

Kindly take the same in your records.

Thanking You,

Yours faithfully,
For Summit Securities Limited


Jiya Gangwani
Company Secretary

Encl.: As above

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Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: : SUMMIT SECURITIES LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : 533306/SUMMIT SECURITIES LIMITED/EQUITY
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) : Reg. 31(1)(b)
a. If under 31(1)(b) then indicate the report for Quarter ending : June 30, 2018
b. If under 31(1)(c) then indicate date of allotment/extinguishment : N.A.

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	-	No
6	Whether company has equity shares with differential voting rights?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



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Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements)

General Information about company	
Scrip code	533306
NSE Symbol	SUMMITSEC
MSEI Symbol	
ISIN	INE519C01017
Name of the company	SUMMIT SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2018
Date of allotment / extinguishment (In case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledged or otherwise encumbered?	No	No	No	No
7	Whether company has equity shares with differential voting rights?	No	No	No	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:-

Note This Report for the quarter ended 31.03.2018 alongwith its annexures have been prepared in accordance with the data received from the Depository Participants as on 31.03.2018. We have assumed that there have been no changes since the data received from the DP's uptill 31.03.2018



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Table 1 - Summary Statement holding of specified securities

(I) Category	(II) Category of shareholder	(III) Nos. of share-holders	(IV) No. of fully paid up equity shares held	(V) Partly paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) = (IV)+(V)+(VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	(IX) Number of Voting Rights held in each class of securities			(X) No. of Outstanding Shares Underlying Depository Receipts (including Warrants)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form	
								Class eg: X	Class eg: Y	Total			Total as a % of (A+B+C)	(a) No.	(b) As a % of total Shares held	(a) No.		(b) As a % of total Shares held
(A)	Promoter & Promoter Group	22	8066713	0	0	8066713	73.99	8066713	0	8066713	74.17	0	0.00	0	0.00	0	0.00	8066713
(B)	Public	55073	2835068	0	0	2835068	26.01	2809634	0	2809634	25.83	0	0.00	0	0.00	NA	2633766	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Total	55095	10901781	0	0	10901781	100.00	10876347	0	10876347	100.00	0	0	0	0	0	0	10700479



Table 1 - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	Category & Name of the Shareholders	PAN	No. of Equity Shares held	No. of Fully Paid-up equity shares held	No. of Depositor Residues	Total nos. of equity shares held (IV+V+VI)	As a % of Shareholding (VII) (A+B+C2)	(VIII)		No. of Shares Underlying Outstanding securities (including forfeited shares) (X)	Shareholding as a % of A+B+C2 (XI) (X) as a % of A+B+C2	Number of Locked In Shares (XII)	Number of Shares pledged/encumbered (XIII)	Number of Equity Shares held in dematerialized form (XIV)
								Number of Voting Rights held in each class of securities	Total as a % of Voting Rights					
(1.1)	Indian Individuals/Hindu undivided Family		5	3702	0	3702	0.03			0	0	0	3702	
I	Harsh Vardhan Goenka	AABPG7041G	1	2153	0	2153	0.02			0	0	0	2153	
II	Arun Vardhan Goenka	AENPQ8979N	1	1318	0	1318	0.01			0	0	0	1318	
III	Rama Prasad Goenka	AEPFG4687J	1	211	0	211	0.00			0	0	0	211	
IV	Mela Goenka	ADPPG2821B	1	10	0	10	0.00			0	0	0	10	
V	Radhya Arun Goenka	AABPB4737E	0	10	0	10	0.00			0	0	0	10	
(1.2)	Central Government/ State Government(s)		0	0	0	0	0.00			0	0	0	0	
(1.3)	Financial Institutions/ Banks		0	0	0	0	0.00			0	0	0	0	
(1.4)	Any Other (Specify)		47	8063011	0	8063011	73.96			0	0	0	8063011	
(d-1)	Bodies Corporate		11	8046848	0	8046848	73.81			0	0	0	8046848	
I	RPG Cellular Investments And Holdings Pvt.Ltd *	ACGFS5041R	1	7320731	0	7320731	6.723			0	0	0	7320731	
II	Carival Investments Ltd	AAQCR1950M	1	280790	0	280790	2.87			0	0	0	280790	
III	Carival Investments Ltd	AAQCR1950M	1	2285	0	2285	0.02			0	0	0	2285	
IV	STEL Holdings Limited	AABGC02008A	1	354159	0	354159	3.26			0	0	0	354159	
V	Serfai Mercantile Pvt.Ltd	AADCS3333H	1	69815	0	69815	0.64			0	0	0	69815	
VI	Elexar Enterprises LLP	AAGCS1973M	1	10	0	10	0.00			0	0	0	10	
VII	Chaitanya Apartments LLP	AAEFE0412E	1	10	0	10	0.00			0	0	0	10	
VIII	Vayu Udsan A/c/airl LLP	AAJFC0572C	1	10	0	10	0.00			0	0	0	10	
X	Mabhar Coastal Holdings LLP	AAKEV18191N	1	10	0	10	0.00			0	0	0	10	
XI	Atlantis Dwellings And Infrastructure LLP	AAVFM18214H	1	10	0	10	0.00			0	0	0	10	
(d-2)	Trust		6	16162	0	16162	0.15			0	0	0	16162	
I	Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust *	AAOTW40341H	1	16157	0	16157	0.15			0	0	0	16157	
II	Harsh Vardhan Goenka, Trustee of Steller Energy Trust **	AALT81767R	1	5	0	5	0.00			0	0	0	5	
III	Harsh Vardhan Goenka, Trustee of Crystal India Tech Trust ***	AAABT3842J	1	1	0	1	0.00			0	0	0	1	
IV	Harsh Vardhan Goenka, Trustee of Nucelus Life Trust ****	AAABT3842J	1	1	0	1	0.00			0	0	0	1	
V	Harsh Vardhan Goenka, Trustee of Secura India Trust *****	AAABT3842J	1	1	0	1	0.00			0	0	0	1	
VI	Harsh Vardhan Goenka, Trustee of Prism Estates Trust *****	AALTS1766Q	1	1	0	1	0.00			0	0	0	1	
	Sub-Total (A1)	AACFP0828M	22	8066713	0	8066713	73.99			0	0	0	8066713	
(2)	Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00			0	0	0	0	
(3)	Government Institutions		0	0	0	0	0.00			0	0	0	0	
(4)	Foreign Portfolio Investor		0	0	0	0	0.00			0	0	0	0	
(5)	Any Other (Specify)		0	0	0	0	0.00			0	0	0	0	
	Sub-Total (A2)		0	0	0	0	0.00			0	0	0	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A1)+(A2)		22	8066713	0	8066713	73.99			0	0	0	8066713	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NIL

Note:
 * RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Miles Holdings Limited, South Asia Electricity Holdings Limited, Kestrel Investments Limited, Petrotech International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.
 ** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCHPL) pursuant to the Scheme of Amalgamation between RCHPL and Blue Miles Holdings Limited, South Asia Electricity Holdings Limited, Kestrel Investments Limited, Petrotech International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.
 *** Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Private Limited (RCHPL) pursuant to the Scheme of Amalgamation between RCHPL and Blue Miles Holdings Limited, South Asia Electricity Holdings Limited, Kestrel Investments Limited, Petrotech International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Monitor Portfolio Trust.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Steller Energy Trust.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Crystal India Tech Trust.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Nucelus Life Trust.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Secura India Trust.
 +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Prism Estates Trust.



SUMMIT SECURITIES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Category & Name of the Shareholders	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VII) = (A+B+C2)	(X) Number of Voting Rights held in each class of securities		Total as a % of Voting Rights	No. of Shares Outstanding convertible securities (including Warrants)	Total Shareholding as a % of A+B+C2 (X) = (VII)+(X) as a % of A+B+C2	(XII) Number of Locked in shares		Number of Shares pledged or otherwise	Number of equity shares held in dematerialized form (XIV)
									Class X	Class Y / Total				(a)	(b)		
(1)	Institutions:	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv+v+vi)	(viii)	(ix)		(x)	(xi) = (vii)+(x) as a % of A+B+C2	(a)	(b)	(a) / (b)	(xiv)	
(a)	Mutual Funds/UTI		15	85865	0	0	85865	0.79	85865	0	85865	0.79	0	0	0	85865	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(e)	Foreign Portfolio Investors		31	958	0	0	958	0.01	958	0	958	0.01	0	0	0	7	
(f)	Financial Institutions/ Banks		54	3205	0	0	3205	0.03	3205	0	3205	0.03	0	0	0	2789	
(g)	Insurance Companies		6	334491	0	0	334491	3.07	334491	0	334491	3.08	0	0	0	334486	
(g-1)	Life Insurance Corporation of India		1	297152	0	0	297152	2.73	297152	0	297152	2.73	0	0	0	297147	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(2)	Central Government (State Government/s) President of India		2	538	0	0	538	0.00	538	0	538	0.00	0	0	0	422788	
(3)	Non-Institutions		2	538	0	0	538	0.00	538	0	538	0.00	0	0	0	0	
(a)	Individuals -		53221	1562220	0	0	1562220	14.33	1562220	0	1562220	14.36	0	0	0	1464524	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs		53217	1194772	0	0	1194772	10.87	1194772	0	1194772	10.88	0	0	0	1087076	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		4	377448	0	0	377448	3.46	377448	0	377448	3.47	0	0	0	377448	
i	Chinappa M B	ABVP/PCS804C	1	161910	0	0	161910	1.49	161910	0	161910	1.49	0	0	0	161910	
(b)	NBFCs registered with RBI		3	2879	0	0	2879	0.03	2879	0	2879	0.03	0	0	0	2879	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(d)	Overseas Depositories (holding DRs)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(e)	Any Other (specify)		1741	844912	0	0	844912	7.7501	819478	0	819478	7.5345	0	0	0	743575	
i	Unclaimed Securities Suspense Account		1	25434	0	0	25434	0.23	0	0	0	0.00	0.23	0	0	25434	
ii	Non-Resident Indian (NRI)		501	28831	0	0	28831	0.26	28831	0	28831	0.27	0	0	0	28734	
iii	HUF		634	42423	0	0	42423	0.38	42423	0	42423	0.39	0	0	0	42423	
iv	Trusts		8	7320	0	0	7320	0.07	7320	0	7320	0.07	0	0	0	7320	
v	Foreign Companies		4	99122	0	0	99122	0.91	99122	0	99122	0.91	0	0	0	0	
vi	Directors & Relatives		2	101	0	0	101	0.00	101	0	101	0.00	0	0	0	0	
vii	Clearing Members		125	41445	0	0	41445	0.38	41445	0	41445	0.38	0	0	0	41445	
viii	Bodies Corporate		446	595306	0	0	595306	5.46	595306	0	595306	5.47	0	0	0	593294	
(vii-1)	Via Finance Ltd	AAACV4183G	1	178306	0	0	178306	1.64	178306	0	178306	1.65	0	0	0	178306	
(vii-2)	SMFS CAPITAL MARKETS LTD.	AAECS4138D	1	156500	0	0	156500	1.44	156500	0	156500	1.44	0	0	0	156500	
(vii-3)	RECLANCE FINANCIAL LIMITED	AAOCRR0887N	1	133500	0	0	133500	1.22	133500	0	133500	1.23	0	0	0	133500	
ix	Limited Liability Partnership - LLP		8	4843	0	0	4843	0.04	4843	0	4843	0.04	0	0	0	4843	
ix	Others		12	87	0	0	87	0.00	87	0	87	0.00	0	0	0	82	
	Sub-Total (B)(3)		54865	2410011	0	0	2410011	22.11	2394577	0	2394577	21.92	0	0	0	2210978	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		55073	2835068	0	0	2835068	26.01	2809634	0	2809634	25.83	0	0	0	2633766	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):
 NIL

As 25434 shares are lying in the Unclaimed Securities Suspense account as on 30.06.2018 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(d) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

Note: PAN would not be displayed on website of Stock Exchange(s).

(1) The above format needs to be disclosed along with the name of following persons: Institution/Non Institutions holding more than 1% of total number of shares.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1) (a)	(ii) (b)	(iii)	(iv)	(v)	(vi)	(vii) = IV+V+VI	As a % of (A+B+C 2) (VIII)	(ix)			(x)	(xi) = (vii)+(x) as a % of A+B+C2	(xii)		(xiii)		(xiv)
								Number of Voting Rights held in each class of securities					Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Sharehold ing, as a % assuming full conversion of convertible securities (as a percentage of the total shares held)	Number of Locked in shares	
(1) (a)	(ii) (b)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Class X	Class Y	Total	(a)	(b)					(a)
(2) (a)	Employee Benefit Trust (under SEBI (Share Benefit) Regulations, 2014)		0	0	0	0	0.00				0	0.00					0
Total Non-Promoter- Non Public Shareholding (C)=		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			NA	0

